

LAW OFFICES OF  
**SHERMAN & SHERMAN**  
A PROFESSIONAL CORPORATION

ONE CENTURY PLAZA  
2029 CENTURY PARK EAST  
SEVENTEENTH FLOOR

LOS ANGELES, CALIFORNIA 90067

TELEPHONE (310) 789-3200

FACSIMILE (310) 789-3210

**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

DOCKET NO. CEL1.0011

PATENT APPLICATION

As a below named inventor(s) of an invention titled COMPOUND LOUDSPEAKER HAVING A MAGNET SYSTEM, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled COMPOUND LOUDSPEAKER HAVING A MAGNET SYSTEM the specification of which (check one)

  X   is attached hereto

           was filed on                                 

amended on (or amended through)                                   
(if applicable)

Application Serial No.                                  and was

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority  
Claimed

<u>0017072.0</u>	<u>United Kingdom</u>	<u>July 11, 2000</u>	<u>  X  </u>	<u>  </u>
Number	Country	Day/Month/Year Filed	Yes	No

I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

None

Appln. Serial No.	Filing Date	Status (patented, pending, abandoned)
-------------------	-------------	--

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I/(We) hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: KENNETH L. SHERMAN, Registration No. 33,783, MILORD KESHISHZADEH, Registration No. 43,333, MICHAEL Z. ZARRABIAN, Registration No. 39,886. Direct all telephone calls to Kenneth L. Sherman at telephone No. (310) 789-3200.

SHERMAN & SHERMAN

ATTORNEYS AT LAW

DOCKET NO.: CEL1.0011

PATENT APPLICATION

Address all correspondence to:

SHERMAN & SHERMAN  
2029 Century Park East  
Seventeenth Floor  
Los Angeles, California 90067

Full name of sole or first inventor:

PHILIP JEFFREY ANTHONY

Inventor's signature: Philip J. Anthony

Date: 29<sup>th</sup> August, 2000

Residence: 68 Bucksford Lane  
Singleton Ashford  
Kent, TN23 4YR  
ENGLAND

Citizenship: British

Post Office Address: Same

Full name of second inventor:

ENRICO CECCONI

Inventor's signature: E. Cecconi

Date: 13<sup>th</sup> Sept, 2000

Residence: 53 ~~88~~ Punch Croft  
EC New Ash Green  
Kent, DA3 8HR  
ENGLAND

Citizenship: British

Post Office Address: Same

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

I hereby certify that this paper of fee is being deposited with the United States Postal Service on this date: 9/25/00, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EE50624408905 addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Evelyn Menjivar

(Type or print name of person mailing paper)

Evelyn Menjivar  
(Signature of person mailing paper)



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 29, 2000

PTAS

SHERMAN & SHERMAN  
KENNETH L. SHERMAN, ESQ.  
2029 CENTURY PARK EAST  
SUITE 1700  
LOS ANGELES, CA 90067



\*101485114A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/25/2000

REEL/FRAME: 011133/0039  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ANTHONY, PHILIP JEFFREY

DOC DATE: 08/29/2000

ASSIGNOR:

CECCONI, ENRICO

DOC DATE: 09/13/2000

ASSIGNEE:

KEF AUDIO (UK) LIMITED  
ECCLESTON ROAD  
MAIDSTONE, KENT, EPO

SERIAL NUMBER: 09669308  
PATENT NUMBER:

FILING DATE: 09/25/2000  
ISSUE DATE:

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

10-11-2000

RECORDATI  
CC

101485114

10682 U.S. PTO  
09/669308

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party (ies): <u>9-25-00</u> PHILIP JEFFREY ANTHONY & ENRICO CECCONI		2. Name and address of receiving party(ies): Name: <u>KEF AUDIO (UK) LIMITED</u> Internal Address: <u>Eccleston Road, Maidstone, Kent, England</u> Street Address: <u>Same as above</u> City: _____ State: _____ ZIP: _____ Additional name(s) & addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>August 29, 2000 and September 13, 2000</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is _____ <table border="1"> <tr> <td>           A. Patent Application No.(s)             NOT YET ASSIGNED         </td> <td>           B. Patent No.(s)         </td> </tr> </table> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <u>09/669308</u>				A. Patent Application No.(s)  NOT YET ASSIGNED	B. Patent No.(s)
A. Patent Application No.(s)  NOT YET ASSIGNED	B. Patent No.(s)				
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Kenneth L. Sherman, Esq.</u> Internal Address: <u>SHERMAN &amp; SHERMAN</u> <u>2029 Century Park East, Suite 1700</u> Street Address: <u>2029 Century Park East, Suite 1700</u> City: <u>Los Angeles</u> State: <u>CA</u> ZIP: <u>90067</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total Fee (37 CFR 3.41) ..... \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>19-1995</u> (Attach duplicate copy of this page if paying by deposit account)			

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kenneth L. Sherman, Esq.

Name of Person Signing

Signature

September 25, 2000

Date

Total number of pages comprising cover sheet: 1

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this paper of fee is being deposited with the United States Postal Service on this date: 9/25/00, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EE50624408905 addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Evelyn Menjivar

(Type or print name of person mailing paper)

(Signature of person mailing paper)

G:\KLS\CEL\INCEL1001\REC-CVR.wpd

PATENT

Docket No. CEL1.0011

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

PHILIP JEFFREY ANTHONY, 68 Bucksford Lane, Singleton Ashford, Kent,  
TN234YR, ENGLAND; and  
ENRICO CECCONI, 58 Punch Croft, New Ash Green, Kent DA3 8HR,  
ENGLAND <sup>53 E. Cenn</sup>  
(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

COMPOUND LOUDSPEAKER HAVING A MAGNET SYSTEM  
(title of discovery or invention)

- [X] for which application for Letters Patent of the United States has been executed on even date herewith,
- [ ] for which application for Letters Patent of the United States has been filed on \_\_\_\_\_, under Serial No. \_\_\_\_\_, and

WHEREAS:

KEF Audio (UK) Limited, Eccleston Road, Maidstone, Kent, England,  
ME15 6QP  
(name and address of assignee)

(hereinafter referred to as ASSIGNEE)), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of One Dollar (\$1.00), the receipt of

which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to an under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives,


Docket No. CEL1.0011

or assigns, I will, at any time, when called upon to do so by the ASSIGNEE its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature:   
PHILIP JEFFREY ANTHONY

Dated: 29<sup>th</sup> August 2000

Citizenship: British

Assignor's signature:   
ENRICO CECCONI

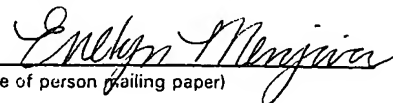
Dated: 13<sup>th</sup> September 2000

Citizenship: British

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this paper of fee is being deposited with the United States Postal Service on this date: September 25, 2000, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EE506244089US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

Evelyn Menjivar  
(Type or print name of person mailing paper)

  
(Signature of person mailing paper)